

Internal Audit Findings Report

Q1 2026 cycle ? controls sampled across 7 frameworks

Tenant: demo-tenant

Framework: Multi-framework

Period: 2026-01-01 ? 2026-03-31

Generated: 2026-04-01T09:00:00Z

Metrics

Controls sampled: 96

Pass: 88

Pass with observation: 5

Findings (minor): 2

Findings (major): 1

Sample-period months: 3

Owners assigned to findings: 3

Re-test cadence: 30d

Findings ? major

One major finding; assigned to owner, remediation in progress.

Record 1

id: IA-2026-003

severity: Major

framework: ISO 27001 Annex A.5.16

finding: 1 of 7 sampled identity-management evidence items lacked an approval trail; control intent not refuted but evi...

owner: Identity platform lead

due: 2026-06-15

status: remediation in progress

Findings ? minor

Two minor findings; both with remediation plans + retest dates.

Record 1

id: IA-2026-005

severity: Minor

framework: SOC 2 CC7.2

finding: Monitoring-procedure documentation last reviewed 14 months ago (policy says 12).

owner: Security architect

due: 2026-05-30

Record 2

id: IA-2026-008

severity: Minor

framework: GDPR Art. 30

finding: Record-of-processing missing 'data-subject categories' field for 2 of 47 activities.

owner: DPO

due: 2026-05-22

Pass-with-observation

5 controls passed but carry an observation worth noting in next-cycle scoping.

Record 1

control: A.8.16 monitoring activities

observation: Alert volume up 38% QoQ ? consider tuning thresholds.

Record 2

control: CC6.1 logical access

observation: Privileged-session recordings sampled; consider 100% retention.

Record 3

control: Art. 32 security measures

observation: TLS-1.2 still allowed on legacy endpoint; deprecation tracked.