

# Compliance Executive Summary

Quarterly board pack ? Q1 2026

Tenant: demo-tenant

Framework: Multi-framework

Period: 2026-01-01 ? 2026-03-31

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## Metrics

Frameworks in scope: 7

Controls satisfied: 412

Controls in progress: 38

Overdue obligations: 4

Open incidents: 1

Material risks (residual high): 2

Audit-trail events (Q1): 18244

## Compliance posture

454 obligations tracked. 412 satisfied, 38 in progress, 4 overdue. Posture trending +6 pts vs Q4 2025.

### Record 1

framework: EU AI Act

satisfied: 92

in progress: 8

overdue: 1

### Record 2

framework: ISO 42001

satisfied: 71

in progress: 6

overdue: 0

### Record 3

framework: ISO 27001

satisfied: 108

in progress: 9

overdue: 1

### Record 4

framework: GDPR

satisfied: 54

in progress: 4

overdue: 0

### Record 5

framework: SOC 2 (TSC)

satisfied: 49

in progress: 5

overdue: 1

### Record 6

framework: HIPAA

satisfied: 22

in progress: 3

overdue: 0

### Record 7

framework: PCI DSS v4.0

satisfied: 16

in progress: 3  
overdue: 1

## Top residual risks

*Two residual-high items the board should be aware of.*

### Record 1

risk: Foundation-model vendor concentration  
likelihood: Medium  
impact: High  
mitigation: Multi-region failover signed Mar-26; second vendor selected.

### Record 2

risk: EU AI Act high-risk classification dispute  
likelihood: Low  
impact: High  
mitigation: Outside counsel engaged; conformity-bundle ready for self-assessment.

## Key decisions sought from the board

*Three items requiring board attention this quarter.*

### Record 1

item: Approve revised AI Acceptable-Use policy (v2.4)

### Record 2

item: Ratify Tier-1 sub-processor change (us-east ? multi-region)

### Record 3

item: Sign-off on regulator-submission pack for EU AI Office (target: 12 May)